



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,588	07/20/2001	Vadim Antonov	005642.P005 X

JAN 04 2002  
PATENT & TRADEMARK OFFICE

John P. Ward  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, CA 90025-1026

CONFIRMATION NO. 3302  
FORMALITIES LETTER



\*OC000000006525801\*

Date Mailed: 09/07/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/09/2002 NM0HAMM1 00000025 09909588

01 FC:105

130.00 DP



Section 3

## FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231-0001 on 11/7/01 Box Missing Parts

Date of Deposit

JUDY L. STEINKRAUS

Name of Person Mailing Correspondence

Judy L. Steinkraus

Signature

11/7/01

Date

Serial/Patent No.: 09/909,588

Filing Date: July 20, 2001

Client: Exigen Group

Title: ENHANCED COMMUNICATION SCHEME FOR OBJECTS IN MULTI-HOST ENVIRONMENTS

BSTZ File No.: 5642.P005X

Atty/Secty Initials: JPW:jxs

Date Mailed: November 7, 2001

Docket Due Date: November 7, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input type="checkbox"/> Express Mail No.: _____	<input checked="" type="checkbox"/> Check No. <u>46364</u>
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> _____ Month(s) Extension of Time	<input type="checkbox"/> Amt: <u>\$130.00</u>
<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO-149 (____ pgs.)	<input type="checkbox"/> Check No. _____
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal	<input type="checkbox"/> Amt: _____
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____	
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input type="checkbox"/> Certificate of Mailing	<input checked="" type="checkbox"/> Response to Notice of Missing Parts (2 pages + dup.)	
<input checked="" type="checkbox"/> Declaration & POA (____ pgs.) (executed)	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.)	<input type="checkbox"/> Transmittal Letter, in duplicate	
<input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures	<input checked="" type="checkbox"/> Fee Transmittal, in duplicate (2 pages)	
<input checked="" type="checkbox"/> Other: <u>Copy of Notice to File Missing Parts (1 page)</u>		



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Antonov, et al.

Application No. 09/909,588

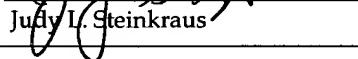
Filed: July 20, 2001

For: ENHANCED  
COMMUNICATION SCHEME FOR  
OBJECTS IN MULTI-HOST  
ENVIRONMENTS

Examiner: Not assigned

Art Unit: Not assigned

**FIRST CLASS CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail with sufficient postage in an  
envelope addressed to Box Missing Parts, the  
Commissioner for Patents, Washington, D.C. 20231-  
0001 on November 7, 2001.

  
Judy L. Steinkraus

Box Missing Parts  
Commissioner For Patents  
Washington, D.C. 20231-0001

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)**

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed September 7, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

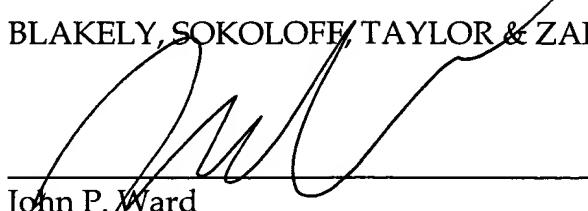
If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Authorization is hereby given to charge our Deposit Account No. 02-2666 for any deficiencies in fees that may be due.

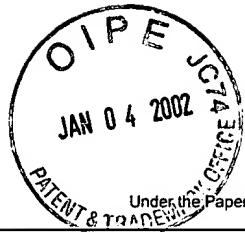
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: November 7, 2001

  
John P. Ward  
Reg. No. 40,216

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300



## FEE TRANSMITTAL FOR FY 2002

**TOTAL AMOUNT OF PAYMENT \$130.00**

**Complete if Known:**

Application No. 09/909,588

Filing Date: July 20, 2001

First Named Inventor: Antonov, et al.

Group Art Unit: Not assigned

Examiner Name: Not assigned

Attorney Docket No. 5642.P005X

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge deficiencies in fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Money Order  
 Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity      Small Entity

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80

Fee Description

Utility application filing fee  
 Design application filing fee  
 Plant filing fee  
 Reissue filing fee  
 Provisional application filing fee

Fee Paid

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**SUBTOTAL (1) \$ \_\_\_\_\_**

**2. EXTRA CLAIM FEES**

Extra Claims

Fee from

below

Fee Paid

Total Claims _____	- 20** = _____	X _____ = _____
Independent Claims _____	- 3** = _____	X _____ = _____
Multiple Dependent		_____ = _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity      Small Entity

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9

Fee Description

Claims in excess of 20  
 Independent claims in excess of 3  
 Multiple dependent claim, if not paid  
 \*\*Reissue independent claims over original patent  
 \*\*Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ \_\_\_\_\_**

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	<u>Fee Paid</u>
105	130	205	65	Surcharge - late filing fee or oath	\$130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
099	8,800	099	8,800	Request for inter parties reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$130.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: John P. Ward

Signature: \_\_\_\_\_ Date: November 7, 2001

Reg. Number: 40,216

Telephone Number: (408) 720-8300 x237